

1 **A model of time-varying music engagement**

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1 **Abstract**

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3 The current paper offers a model of time-varying music engagement, defined as changes in  
4 curiosity, attention and positive valence, as music unfolds over time. First, we present  
5 research (including new data) showing that listeners tend to allocate attention to music in a  
6 manner that is guided by both features of the music and listeners' individual differences. Next,  
7 we review relevant predictive processing literature before using this body of work to inform  
8 our model. In brief, we propose that music engagement, over the course of an extended  
9 listening episode, may constitute several cycles of curiosity, attention and positive valence  
10 that are interspersed with moments of mind-wandering. Further, we suggest that refocussing  
11 on music after an episode of mind-wandering can be due to triggers in the music or,  
12 conversely, mental action that occurs when the listener realizes they are mind-wandering.  
13 Finally, we argue that factors that modulate both overall levels of engagement and how it  
14 changes over time include music complexity, listener background and the listening context.  
15 Our paper highlights how music can be used to provide insights into the temporal dynamics  
16 of attention and into how curiosity might emerge in everyday contexts.

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18 **Keywords:** Music engagement, Curiosity, Attention, Valence, Predictive processing

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1 Over the course of a music listening experience, an individual may find their focus switching  
2 between the music and other thoughts or actions. However, a theoretical model of the  
3 psychological processes and factors determining the level and dynamics of engagement with  
4 music over extended periods of time remains absent. This gap limits opportunities to leverage  
5 on music listening as a rich, complex and ubiquitous activity: one that can provide insights  
6 into the temporal dynamics of attention, and illuminate how states like curiosity and mind-  
7 wandering emerge in everyday contexts.

8 In the last decades, the predictive processing (PP) framework has been widely adopted  
9 in research on music listening [1,2]. According to the PP framework, prediction errors arise  
10 from mismatches between incoming sensory input and an organism’s internal model. These  
11 prediction errors are then used to both update the model – so that it becomes more accurate  
12 – and to resample the world, so as to optimally guide future predictions. A growing body of  
13 work has provided physiological evidence for these predictive mechanisms, in general [3–6],  
14 and in the context of music listening [7–10] and has clarified their relationship with other  
15 psychological phenomena such as curiosity, attention, and valence. However, despite its  
16 relevance, the PP literature on curiosity, attention and valence has not yet been substantially  
17 used to account for naturalistic music listening experiences.

18 Here we show how this PP literature can help inform a model of the music listening  
19 experience on a longer timescale than has previously been attempted (but see [11]). First, we  
20 review current operationalisations of music engagement, before then showing how  
21 experimental studies and corpus analyses point to the role of music acoustic and structural  
22 features in driving it. After presenting empirical data (published and new) on the role and  
23 nature of curiosity, attention and positive valence during music listening, we provide a brief  
24 overview of PP accounts of the three psychological constructs. Finally, we present our  
25 integrative model for exploring time-varying music engagement and discuss some  
26 implications and directions for future research.

## 27 28 **1. Operationalizing time-varying music engagement**

29  
30 The Oxford learners’ dictionary describes “engagement” as being involved with  
31 [someone or] something in order to understand them/it. In psychology, engagement has  
32 variously been described as the connection between person and activity [12], as reflecting a  
33 person’s active involvement in a task [13] and as a sort of motivated state that involves  
34 cognitive, behavioural and affective elements [13,14].

35 In the music psychology literature, “engagement” is used to imply at least two  
36 meanings. On the one hand, music engagement is taken to mean participation in a variety of  
37 music-related activities from musical practice and performance to attending concerts [15,16].  
38 On the other hand, *time-varying* music engagement, which is associated with discrete  
39 listening episodes, has been described as “how engaging listeners find a piece of music  
40 throughout the continuous listening process” [17].

41 With respect to this latter understanding of the term, music engagement has also  
42 been defined as being “actively immersed in the experience of listening to music, to the  
43 exclusion of extra-musical stimuli” [18,19] while another operationalization that has found  
44 significant resonance [14,17,20,21] describes it as being “compelled, drawn in, connected to  
45 what is happening in the music, interested in what will happen next.” [22]. Finally, in yet other  
46 conceptualizations, music engagement, along with other forms of engagement (e.g.,

1 engagement with narratives) has been defined as “emotionally laden attention” [20,23,24]  
2 and as a brain state associated with “increased affect, attention, and memory recall” [25].

3 Worth noting is that, across several accounts, time-varying music engagement is  
4 described as multidimensional, multifaceted and strongly dependent on several factors  
5 including the individual, the musical style, and culturally-determined referential frameworks  
6 [18,20,26,27]. However, perhaps the most striking commonality across current  
7 operationalisations is the idea that time-varying music engagement (music engagement,  
8 henceforth) involves not just heightened attention but also heightened affect; the latter  
9 particularly in the form of curiosity and interest.

## 10 11 **2. Empirical Literature**

### 12 13 **2.1 Behavioural and neuroscientific studies on music engagement**

14  
15 Studies on music engagement suggest that dynamics and melody are prominent  
16 aspects of the listener’s conscious experience of music [28–30], and that novelty and change,  
17 in general (e.g., in volume, tempo, instrumentation, or entry of vocals), are particularly  
18 effective in (re)orienting listeners’ attention to music when they are carrying out concurrent  
19 tasks [31,32]. The importance of novelty and change in engaging listeners is supported by  
20 findings that the degree to which the entry of instruments is staggered influences how long  
21 listeners engage with musical excerpts [33]. Similarly, the seeming importance of melody and  
22 dynamics in music engagement is corroborated by studies that require participants to  
23 continuously rate their experience of heard music (e.g., [34–36]).

24 Since much music is often structured to afford changes in expectations over time [37–  
25 39], it is relevant to highlight studies that demonstrate an influence of information theoretic  
26 principles on music engagement. For instance, some degree of complexity has been shown to  
27 increase the amount of time listeners engage with a musical stimulus [40] with recent work  
28 suggesting that such engagement-enhancing effects of complexity are linked to higher-order  
29 feelings of interest and enjoyment [33]. Further, speaking to a potentially important  
30 relationship between music engagement and positive affect, continuous ratings of musical  
31 engagement have been shown to significantly predict the reported valence of a piece [17].  
32 According to the authors, musical engagement “likely mediates the relationship between  
33 acoustic parameters in music and listeners’ affective responses” [17].

34 While enlightening, a concern of existing behavioural research on music engagement  
35 is that requiring participants to provide continuous reports of their levels of engagement may  
36 change the listening experience itself. Here, a rising interest in increasing the ecological  
37 validity of neuroscientific research, by using more complex and realistic stimuli, holds promise  
38 for research into music engagement. In a growing body of work, engagement is held to be  
39 reflected in the degree of inter-subject correlation (ISC; for a review of ISC see [41]) seen in  
40 neural signals while participants engage with a continuous naturalistic stimulus  
41 ([23,24,42,43]). Indeed ISC – the degree to which continuous responses synchronize across  
42 subjects – has been estimated and interpreted in the context of a variety of stimuli including  
43 movies [24,44,45], auditory narratives [23,46] and, most relevantly here, music  
44 [20,25,42,43,47].

45 In the context of music listening, it has been pointed out that neural synchronization  
46 tends to be high during salient moments that are associated with unexpected events [42].  
47 Indeed, it would seem that a key driver of ISC is contrastive change particularly with respect

1 to acoustic features [20,42,43,48]: In one study highlighting the role of contrastive change,  
2 peripheral-physiology data collected in a live concert setting showed highest synchrony levels  
3 at phrase boundaries [49].

4 However, complementary to such findings (that emphasise low-level triggers of ISC)  
5 are others highlighting the wide range of factors that seem to influence it. For instance, it has  
6 been shown that minimalist pieces featuring a high degree of repetition result in lower ISC  
7 values [20], and that ISC tends to decrease over repeated exposures to the same music  
8 [24,25,43]. Interestingly, Madsen and colleagues [43] found, however, that ISC was  
9 modulated by an interaction between repeated exposure and familiarity whereby, while ISC  
10 decreased when familiar music was repeated, ISC was sustained, at least for musically-trained  
11 participants, when the music repeated was unfamiliar.

12 Such results suggest that ISC tracks more than just acoustic features, and are in line  
13 with the idea that expert listeners are more equipped to learn the regularities in auditory  
14 stimuli than non-expert listeners are [50]. They also raise the possibility that ISC may be  
15 tracking a psychological process somewhat akin to attention. Here, given that  
16 operationalisations of music engagement directly associate it with attention, it is relevant to  
17 highlight that studies have linked ISC increases with increases in top-down attentional states  
18 [43,51]. Similarly, with operationalisations of music engagement associating it with emotion,  
19 it is noteworthy that moments of high tension and suspense tend to elicit high ISC [24,52],  
20 with one study reported increasing ISC particularly in the build-up to “climactic highpoints”  
21 [42].

22 As the above literature would seem to suggest that ISC is a useful index of time-varying  
23 music engagement, it is important to emphasise its limitations. Indeed, in being defined by  
24 synchrony across participants, ISC can only provide a measure of engagement that is “shared”  
25 across participants. In other words, while ISC reveals where all or most listeners might be  
26 engaging with ongoing musical materials, this index cannot (in its basic form) capture where  
27 listeners show differences in engagement<sup>1</sup>.

28 Nevertheless, taken together, the empirical literature that evaluates subjective  
29 reports, and ISC of signals, suggests that certain music features may be able to drive (shared)  
30 engagement while other features may tend to reduce it. Further, it shows that while  
31 contrastive change appears to be a key trigger of ISC, ISC is nevertheless more than just  
32 passive neural tracking of abrupt changes in acoustic features. Here, however, not least given  
33 the limitations of the above approaches, an important question is whether music  
34 compositional practices can be said to corroborate such empirical findings. Fortunately, with  
35 the many draws on attention in today’s world – which make it increasingly difficult to capture  
36 and maintain a person’s engagement for extended periods of time [53] - such questions are  
37 increasingly being asked in the wider research community.

## 38 39 **2.2 Corpus analysis studies**

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41 The term *Attention economy* emerged in the 1970s [53] to describe the idea that  
42 attention is a limited resource that must be distributed between different information

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<sup>1</sup> Here we speculate on two ways in which this limitation can be mitigated. Firstly, studies could compute ISC from several listening episodes of a given individual, or secondly, ISC could be computed from small subsamples of listeners that share relevant traits or experiences (as carried out to some extent by Madsen and colleagues [43]). However, it is important to note that the former approach would suffer from the effect of repeated listenings, while the latter would likely suffer from considerable noise, given the challenge in specifying how any particular participant may be expected to respond to music.

1 sources. Since then, the idea of an economy of attention has been propagated extensively  
2 [54–56], including in the context of music listening [57].

3 Explicitly operationalising attention economy principles as those favoring focused  
4 mental engagement with a specific information generator, Gauvin [57] asked whether they  
5 can be used to account for the evolution of music compositional practices in recent decades.  
6 Interestingly, by analysing approximately 300 popular songs between 1986 and 2015, this  
7 author was able to show changes in practice that are consistent with a number of such  
8 principles. Specifically, they were able to show that, over the decades, not only have  
9 instrumental introductions shortened from approximately 23 to five seconds but relatedly,  
10 first instantiations of vocals and the hook (highly attention-grabbing parts of music) in music  
11 also seem to enter increasingly earlier.

12 Yet other studies suggest that musicians and producers may have been adapting their  
13 practices to make music more attention-grabbing. For example, estimating perceived  
14 loudness for half a million popular recordings between 1955 and 2010, Serrà and colleagues  
15 [58] showed, in line with evidence that loudness is a key driver of engagement [30], that this  
16 aspect of music has tended to increase over time. Further, in addition to demonstrations that  
17 the majority of popular songs feature surprising harmonic events [59], there is evidence that  
18 they have become increasingly faster since the 1990s ([57], but see [60]).

19 Taken together, current operationalisations suggest that interest, curiosity and  
20 attention are key to what it means to be engaged with a musical stimulus, while experimental  
21 data and corpus analysis studies are revealing key features that may drive engagement with  
22 music. In the next section, we show how recent studies exploring curiosity and attention in  
23 the context of music listening provide support for a number of key ideas proposed in our  
24 model; namely that music tends to capture (and sustain) curiosity (and attention) as a  
25 function of musical features, style and individual listeners' characteristics.

### 27 **2.3 Behavioural studies on curiosity during music listening**

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29 Since a listener's engagement with a piece of music often wanes substantially within  
30 the music's first few seconds [61], it follows that events that induce curiosity, and (re-)  
31 command attention towards music are needed to keep listeners engaging over extended  
32 periods of time. However, despite the relatively widespread idea that curiosity and attention  
33 may be important components of music engagement, there has been little direct empirical  
34 research on the topic.

35 Indeed, in perhaps the first empirical study to examine curiosity in the context of  
36 music listening, Omigie and Ricci [62] investigated the extent to which listeners' perception  
37 of change in music triggered their curiosity as to how the music would unfold. Specifically,  
38 participants provided continuous ratings of their subjective experience of curiosity, change  
39 and arousal, in response to unfamiliar musical excerpts. Using granger causality, a statistical  
40 technique that helps determine whether one time-series is useful in forecasting another, the  
41 authors found that for all musical pieces, the perceptual experience of change seemed to  
42 precede and statistically "cause" feelings of curiosity.

43 Complementing this evidence of a role of change in driving engagement, a further  
44 study from the same authors asked whether music's information theoretic properties can be  
45 seen to influence how curiosity is experienced during listening [63]. Specifically, listeners  
46 indicated, when cued, how curious they were as to how melodies presented to them would  
47 continue. Crucially, thanks to use of a statistical model of melodic expectancy [64] to estimate

1 the information content (IC; unexpectedness) and entropy (uncertainty) of individual melodic  
2 notes, Omigie & Ricci [63] were able to demonstrate a positive association between curiosity  
3 and note IC in low entropy contexts, that was less evident in high entropy contexts. Indeed,  
4 in those high entropy contexts, low IC was seen to sometimes be associated with greater  
5 curiosity.

6 Critically, such findings are in line with the PP framework which emphasises that  
7 curiosity is experienced in situations where epistemic learning seems to be afforded (Section  
8 3.2). The findings of an interaction between IC and entropy in accounting for curiosity [63]  
9 are also compelling given reports of a similar interaction between IC and entropy for musical  
10 pleasure [65,66]. However, the paper from Omigie & Ricci [63] can be considered particularly  
11 helpful in showing how individual differences may influence the unfolding of both curiosity  
12 and appreciation during music listening. Specifically, not only was it able to show that expert  
13 listeners' curiosity ratings tended to be more strongly influenced by musical structure, it also  
14 revealed that listeners with differing curiosity profiles differed in their relative enjoyment of  
15 high and low IC musical events; this in line with findings about how trait curiosity influences  
16 appreciation of unfamiliar music [67].

#### 17 18 **2.4 Using atonal music to explore the factors influencing attentional engagement**

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20 Having presented evidence that feelings of curiosity during music listening seem to  
21 emerge in ways that are in line with general principles, we use the current section to present  
22 new data on some of the factors influencing attentional engagement. In contrast to previous  
23 work (in general and reviewed here) that has tended to use (Western) music characterised by  
24 tonal and metrical hierarchies (tonal music), the current study uses atonal music, a style of  
25 Western art music that was prominent in the beginning of the 21st century and which is often  
26 characterised by an absence of such regularities.

27 Atonal music, in being very complex and unfamiliar to all but a small group of listeners,  
28 affords the opportunity to examine how factors like style complexity and expertise levels  
29 seem to influence engagement. Thus, in a large-scale study, we collected brain and  
30 behavioural data from 20 non-musicians (NM), 19 musicians specialized in classic-romantic  
31 repertoire (CM) and 19 musicians specialized in Western art music from the 20th/21st century  
32 (CCM; i.e. contemporary classical music, which includes atonal music). Prior to the study, all  
33 participants provided written consent and the study was approved by the local ethics  
34 committee of the University Hospital Frankfurt (reference number 415/17). Over the course  
35 of the study, participants were presented with 20 tonal (low uncertainty) and 20 atonal (high  
36 uncertainty) piano music excerpts lasting 45 seconds on average and after each excerpt  
37 indicated on a 6-point Likert scale ('Strongly agree' to 'Strongly disagree') how well they were  
38 able to follow each of the musical excerpts (specifically the level of their agreement with the  
39 statement "I could follow the music well": Figure 1A). With our analysis, we interrogated the  
40 extent to which listeners' ability to follow the music (i.e., deploy top-down attention on the  
41 heard music) was influenced by the style of music and listeners' expertise (Figure 1B and  
42 below).

43 We invite the reader to find more detail on methods and results in supplementary  
44 materials. However, in brief, following an initial linear mixed model that showed main effects  
45 of musical style ( $F(1, 38.01) = 170.98, p < 0.001$ ), a main effect of expertise ( $F(2, 55.01) =$   
46  $13.19, p < 0.001$ ) and an interaction between the two ( $F(2, 2217.06) = 25.57, p < 0.001$ ), we  
47 carried out three follow-up models that compared two expertise groups at a time. These

1 models showed that all listeners found it more difficult to deploy top-down attention to  
2 atonal than to tonal music and that NM were generally poorer than both expert groups for  
3 both styles of music.

4 Interestingly, results also demonstrated that while the two expert groups did not  
5 differ in overall ability ( $B = 0.36$ ,  $SE = 0.25$ ,  $df = 38.40$ ,  $t = 1.43$ ,  $p = 0.16$ ), the CCM group  
6 nevertheless differed from both NM and CM, with respect to ability to deploy attention to  
7 atonal as compared to tonal music ( $B = 1.04$ ,  $SE = 0.08$ ,  $df = 85.17$ ,  $t = 13.26$ ,  $p < 0.01$ ).  
8 Specifically, compared to the two groups with no expertise in atonal music, the difference  
9 between ability to deploy attention to atonal and tonal music was significantly smaller in the  
10 CCM group. Comparing the CCM to CM (who did not differ in general ability to deploy  
11 attention to both musical styles), CCM demonstrated a numerical tendency to be, on the one  
12 hand, better than CM at following the atonal music but, on the other hand, worse than CM  
13 at following the tonal music.

14 Our data are interesting in highlighting the difficulty of engaging with atonal music  
15 even for those that have specific expertise in it. Indeed, our findings add support to our  
16 proposal that this kind of music be adopted in research in order to help understand the  
17 musical aesthetic experience in all its variety [68]. More pertinently, however, by suggesting  
18 that expertise in a complex music style may lead to both a greater ability to engage with  
19 complex music, and a reduced ability, or desire, to engage with less-complex musical styles,  
20 our data show how different factors can interact to guide levels of music engagement.

21 Taken together, our review of old and new data demonstrates how listeners show  
22 curiosity and allocate attention to music in a manner that is guided by both features of the  
23 music and listeners' individual differences. However, it is clear that the absence of a  
24 theoretical framework that is able to guide research on music engagement has limited both  
25 the extent to which existing findings can be confidently interpreted, and the extent to which  
26 insights can be used more broadly. In the following section, we review how curiosity,  
27 attention and valence is accounted for within the PP framework, allowing us to later use these  
28 insights to inform our model of musical engagement.

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32 **INSERT FIGURE 1 ABOUT HERE.**  
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34

### 35 **3. Curiosity, attention and valence in the PP framework**

#### 36 **3.1 Predictive coding and active inference**

37 The PP framework can be seen as an application of the free energy principle [69,70]  
38 which posits that living creatures must minimise free energy. Within this principle, free energy  
39 corresponds to a quantification of the divergence between observed and expected data,  
40 given an agent's generative model. Critically, the PP framework comprises both predictive  
41 coding – the idea that when sensory input is inconsistent with an agent's generative model,  
42 prediction errors propagate in order to change said generative model – and active inference–  
43 the idea that the organism will sample the environment (take action) in a way that maximises  
44 evidence for its model.  
45

46 In active inference, in general, an agent uses its generative model to infer the most  
47 likely causes of observable outcomes; where a generative model is simply a probabilistic



1 specification of how outcomes follow on from states (causes). In the special case of *deep* or  
2 hierarchical active inference, however, state transitions take on a nested temporal structure,  
3 whereby higher levels evolve at a slower time scale than that of the level below. One benefit  
4 of such deep models is that agents - in the process of inferring causes of outcomes - are able  
5 to build evidence over different time scales.

6 Deep generative models have been used to account for complex psychological  
7 processes like working memory and reading [71,72]. In the context of reading, an agent with  
8 a deep model can keep in mind those words or letters that are likely to be sampled in the  
9 future; allowing it to skip words and still comprehend the sentence. Recently, it has been  
10 suggested that having a deep generative model allows agents to access and control aspects  
11 of the self [73]. Indeed, it is against this backdrop that deep active inference is increasingly  
12 being used to account for attentional control, meta-awareness and affective states.

13 In the following review of the PP literature, we show how curiosity as an experience  
14 emerges from an exposure to novel combinations of hidden states and outcomes. We then  
15 show how attention, meta-awareness and mental action are made possible by agents having  
16 higher levels in their (deep) generative models that make lower levels visible and therefore  
17 controllable. Finally, we show how, according to the PP framework, positive valence can be  
18 explained by the rate of prediction error reduction. In the section that follows our PP review,  
19 we outline how and why all three processes are intrinsic to the phenomenon that is music  
20 engagement.

### 21 **3.2. Accounting for curiosity**

22 Extending earlier work on perception, the PP framework is increasingly being used to  
23 provide formal accounts of epistemic emotions like curiosity and insight [71,74,75]. Critically,  
24 by showing how curiosity can be accommodated within the same imperative (namely free  
25 energy minimisation) as other relevant phenomena (such as attention and emotion), such  
26 work provides a promising starting point for developing a PP account of music engagement.

27 PP accounts of curiosity rest on active inference, which in turn emphasise that an  
28 agent's actions influence its sensations. Indeed, active inference holds that since the  
29 observations that agents make depend on their actions, their generative models must build  
30 expectations about outcomes that would follow different sequences of actions. Within this  
31 active inference framework, in which actions are considered in terms of expected sensory  
32 consequences, curiosity has been associated with "active sampling of the environment to  
33 minimize uncertainty about hypotheses - or explanations - for states of the world" [76].

34 To explain their account of curiosity, authors have found it useful to outline how the  
35 resolution of different types of uncertainty is associated with different types of behaviour  
36 [76]. In contrast to perceptual inference, which they propose resolves uncertainty about the  
37 causes of sensory outcomes under a given sequence of actions (i.e., a given policy), curiosity-  
38 related behaviours, they argue, resolve uncertainty through the choice of certain policies.  
39 Specifically, curious agents will choose policies that, by exposing them to novel combinations  
40 of hidden states and outcomes, allow them to discover the way these outcomes are  
41 generated. In other words, according to the PP framework curiosity relates to agents pursuing  
42 those policies that, in affording novelty and epistemic learning, improve generative models in  
43 the long run. However, given that psychologists tend to think of curiosity as a state first and  
44 foremost (even though such states may indeed be associated with behaviours), it is important  
45 to consider how such claims translate to the level of the experience. Here, it is therefore  
46

1 useful to refer to characterisations of curiosity as the experience of *expected* uncertainty  
2 reduction that is made possible through one's actions [77].

3         Staying on such a phenomenological level, it is clear to see how curiosity (a state of  
4 expecting uncertainty to be reduced) will generally lead to increased or continued allocation  
5 of cognitive resources to a stimulus (or certain features of a stimulus) that affords epistemic  
6 learning. Narrowing down to the context of a music listening episode, curiosity would  
7 constitute feeling compelled to attend to the music, (or specific streams within the music),  
8 that seem to afford the opportunity to better understand what is being heard. Here, it is  
9 useful to exemplify how adopting a PP framework aids the development of a model of music  
10 engagement: indeed, while some earlier accounts have tended to emphasise the importance  
11 of novelty (or high information content) in inducing curiosity, the PP account is able to  
12 account for the fact that even low information content events (or familiar materials) can  
13 trigger curiosity [63]. That introduction of repetition into contemporary art music increases  
14 interest and enjoyability has previously been demonstrated [78]. In emphasising how agents  
15 are driven by learning, the PP framework makes clear why repetition or low information  
16 content in a particularly complex (high entropy) musical sequence can induce curiosity and  
17 interest: namely, thanks to the promise of learning that these events afford, in the context of  
18 a sequence that seemed unlearnable until then.

19         In any case, the proposal that we become curious about music at those moments that  
20 seem to afford an opportunity to learn coheres well with another simple proposition: that  
21 “curiosity allocates attention, in a way that does not itself consume attention” [75,79] or in  
22 other words that curiosity exists to help agents efficiently ‘decide’ where and when to attend.  
23 Further, such a proposal aligns well to increasingly popular accounts that emphasize a role of  
24 expected learning progress in driving curiosity [80,81].

### 25 **3.3 Accounting for attention, meta-awareness and mental action**

26         Although research questions, efforts and outputs on the topic continue to grow,  
27 attention constitutes one of the earliest psychological processes accounted for in terms of  
28 the PP framework. According to the PP framework, if perception is inference about causes of  
29 sensory input, then attention is inference about the uncertainty – or in other words precision  
30 – of those causes [70,82]. Precision-weighting means using estimates of data’s reliability to  
31 determine how much influence said data should have on the inferential process. In the  
32 current literature, the notion of precision-weighting is widely used when accounting for  
33 attentional processes.

34         Specifically, attention has been formalised as precision of (or confidence in) beliefs  
35 about how observations are related to the states of the world that generated them  
36 [72,83,84]. Against this background, attentional control has been described as the  
37 deployment of precision [85,86], whereby to attend means to increase the extent to which  
38 an agent believes their observations accurately map onto actual states of the world.  
39 Technically speaking, attending to a certain stimulus (deploying precision) increases the  
40 relative weight on inferences made on the basis of those particular observations. Intuitively  
41 speaking, when we pay attention to auditory stimuli, we are enabling what we hear to more  
42 greatly influence our predictions, as well as permitting greater confidence in our  
43 interpretation of the heard sounds.

44         Recently, the PP framework has begun to accommodate the fact that organisms need  
45 to become aware of moments when they are no longer attending. The ability to explicitly  
46 observe the ongoing contents of a conscious episode is increasingly accounted for in terms of  
47

1 “opaqueness”. A state is said to be opaque when its underlying processes can be attended to  
2 using introspective attention [87]. Based on this idea [73], attentional states have been  
3 described as second-order states that allow first-order perceptual states to become opaque.  
4 In turn, meta-awareness has been described as a third-order state that allows (second-order)  
5 attentional states to become opaque [88].

6 Specifically, in their formalisation of sustained selective attention, Sandved-Smith and  
7 colleagues [88] operationalised meta-awareness as the higher level in an agent’s predictive  
8 model that modulates the precision (and accordingly opaqueness) of second-order  
9 attentional states; thus allowing agents to distinguish when they are attending to a  
10 continuous sensory stimulus, from when they are in fact distracted or mind-wandering. In  
11 turn, they accounted for the mental action of re-attending to a stimulus in terms of the active  
12 inference imperative for organisms to choose actions that bring them closer to their  
13 generative model’s preferred / expected state. In brief, active inference stipulates that  
14 policies are more probable if they minimise free energy. In the context of a sustained  
15 attention task, the generative model’s preferred / expected state, associated with minimal  
16 free energy, is the state of attending. In other words, while noticing one is attending would  
17 not cause any surprise, noticing one is mind-wandering would result in surprise that would  
18 need to be minimised.

19 Taken together then, PP framework offers a useful account of how cycles of  
20 attentional engagement, mind-wandering and mental action emerge. Once again, it is  
21 relevant to consider how such insights from the PP framework may benefit the development  
22 of a model of music engagement. Here, we argue that the PP framework allows various  
23 processes involved in engagement to be accounted for with the same terms. Indeed, music  
24 psychologists implicitly recognise that (as is the case for all sustained attention tasks),  
25 attentive music listening must sometimes give way to mind-wandering (e.g., [89]). With the  
26 PP literature able to formalise two different ways by which attention may be redeployed after  
27 such mind-wandering episodes (namely, thanks to stimulus-driven curiosity or thanks to the  
28 stimulus-independent brain processes that are meta-awareness and mental action), it offers  
29 an appealingly unified perspective from which to consider a sustained attention activity like  
30 music listening.

### 33 **3.4 Accounting for positive valence**

34 Valence can be broadly defined as the positive and negative character of emotion.  
35 Since – alongside curiosity and heightened attention – the majority of engaging music  
36 listening episodes entail positively valenced experiences [90], we end our review of relevant  
37 PP literature with a consideration of PP accounts of valence.

38 Interestingly, while early PP accounts tended to posit emotion states as active  
39 inference based on the causes of interoceptive signals [91], current work tends to explain  
40 emotion in terms of active inference based on perception of sensory stimuli. Specifically,  
41 valence is increasingly accounted for in terms of the rate of free energy or prediction errors  
42 over time [81,92–94]. Joffily & Coricelli [94] proposed that a positively valenced state is  
43 elicited in the transition from a state of high to low surprise, and as such their account is  
44 similar to those arguing that positive affect reflects a shift from a high free-energy and thus  
45 less valued state to a low free-energy and thus more valued state (e.g., [95]).

46 Most recently, Hesp and colleagues [96] have extended previous work on valence  
47 through the use of deep active inference. In their account, moments of experiencing positive

1 valence occur when an agent is reducing error faster than expected (i.e., during error  
2 reduction acceleration) while experiences of negative valence occur when it is reducing error  
3 slower than expected (i.e., during error reduction deceleration). Interestingly, this  
4 proposition that valence is inferred from model fitness [96], is in line with findings that  
5 positive valence begets behaviours that show greater reliance on prior expectations [97,98].

6 Taken together, PP accounts resonate nicely with the idea that if curiosity is a sense  
7 of where progress in learning can be made, positive valence is what is experienced when the  
8 actual predictive progress is made [77]. In the following section we use such core notions to  
9 inform the main claims of our model.

#### 10 11 **4. A model of time-varying music engagement**

12  
13 Whether used as background stimulation [61] or as the sole intended focus of attention,  
14 engagement with music tends to wax and wane over time. Here, based on our consideration  
15 of empirical, theoretical and computational work, we propose a model of time-varying music  
16 engagement that we hope will increase the effectiveness and value of future research on the  
17 topic.

18  
19 **INSERT FIGURE 2 ABOUT HERE.**

- 20
- 21 1. As an overarching claim, we propose that, over the course of an extended listening  
22 episode, music engagement may constitute several cycles of curiosity, attention and  
23 positive valence. Within this, we suggest:
    - 24 a. that the induction of moments of curiosity, the beginning of (music-driven) cycles,  
25 may align with the absolute beginning or new sections of the music (e.g., the  
26 chorus), with sources of change and novelty in the music (e.g., entrance of  
27 instruments, the voice) or with moments of repetition or low information content  
28 in highly uncertain contexts: all leading the listener to seek to understand how  
29 such elements in the music could have emerged and will evolve. In PP terms, a  
30 curious agent (listener) pursues policies (listens) such that, in affording novelty and  
31 epistemic learning (in allowing one's self to be exposed to new or unpredicted  
32 material), it improves its generative models (enables better predictions) in the  
33 long run.
    - 34 b. that heightened selective attention will always follow the induction of music-  
35 driven curiosity; this, in turn, allowing swifter updating of the listeners' generative  
36 model of the music. In other words, an agent (listener), having experienced  
37 curiosity in response to music, will attend (increase its confidence in how  
38 observations are related to states) so as to optimise the rate at which its model of  
39 the music improves.
    - 40 c. that positively valenced affect will tend to always come *after* the onset of  
41 attention, even if it also overlaps with it. This is thanks to an updated, more  
42 accurate, generative model allowing accelerated prediction error reduction.
  - 43 2. In another key contribution, we emphasize that cycles of curiosity, attention and positive  
44 valence during music listening are interspersed with moments of mind-wandering.  
45 Further, we suggest that:
    - 46 a. moments of mind-wandering may be at least partially explained by redundancies  
47 in the music such as continuous repetition in low complexity music.

- 1           b. while mind-wandering is antithetical to attentional engagement, it may  
2           sometimes overlap at least slightly with the experience of positive valence.  
3           Indeed, since positive valence is associated with (better-than-expected)  
4           accelerated prediction error reduction, any moments at which error reduction  
5           capacity reaches floor levels may be expected to overlap with moments of mind-  
6           wandering (or in other words disengagement).
- 7   3. Importantly, we suggest that two types of situations may lead to a refocusing on music  
8   after an episode of mind-wandering:
- 9       a. situations where features in the music trigger curiosity (see 1a) and,  
10      b. situations where meta-awareness allows the listener to realize they are no longer  
11      attending. In PP terms, moments of mind-wandering become visible thanks to the  
12      third-order level in a deep generative model (i.e. meta-awareness) that allows the  
13      lower/second level (attention levels) to become opaque. Here, it is important to  
14      explicitly note that in such situations, where meta-awareness serves as a ‘trigger’  
15      to attention, the cycle of engagement does not begin with a curiosity component,  
16      but rather with an abrupt increase in attention.
- 17 4. Last but not least, we argue that a number of extrinsic factors will modulate both overall  
18 levels of engagement and how it changes over time. We suggest that:
- 19      a. in terms of complexity, music particularly low in complexity will lead to reduced  
20      engagement given there is little to trigger experiences of curiosity (and,  
21      consequently, attention). It will also determine the nature of ‘music triggers’ of  
22      engagement, whereby in highly complex, unpredictable music, lower rather than  
23      higher information content, may afford moments of heightened engagement  
24      [63,65,68,99].
- 25      b. in terms of individual differences, expertise, for instance, will increase the extent  
26      to which curiosity is influenced by musical structure as well as increase overall  
27      levels of attentional engagement ([63] and current data); this thanks to expert  
28      listeners’ more sophisticated generative models allowing them to better recognise  
29      opportunities for epistemic learning.
- 30      c. the listening situation and context will influence the degree to which a listener will  
31      choose to actively listen (engage) as opposed to allow music to remain in the  
32      background; an idea previously captured by the notion of the aesthetic attitude  
33      [100,101].

## 34 35 **5. Future directions and implication**

36  
37           Having presented a model of music engagement that is inspired by the PP framework,  
38 it seems important to revisit the question: “Why do we need PP to explain music  
39 engagement?” Here, we argue that the PP framework is one of the only frameworks to bring  
40 curiosity, attention and valence together in a convincing way. As such, it provides a  
41 particularly parsimonious way of accounting for music engagement: a phenomenon that  
42 implicates these processes. Furthermore, by clarifying the relationship between these key  
43 psychological phenomena that seem intrinsic to music engagement, PP allows the opening  
44 up of new testable hypotheses, which – we argue – was largely missing from the music  
45 engagement literature. To the related question, “How might PP practically help in the study  
46 of musical engagement?”, we suggest that future studies seek to directly test the various  
47 claims and assumptions of our model. Indeed, inspired by what we have presented, music

1 science researchers with a background in programming and mathematics could seek to build  
2 markov decision models, run simulations and fit their obtained models to new or existing data  
3 on how music engagement unfolds [102]. Alternatively, experimental researchers could use  
4 our model to design and implement new hypothesis-driven research that is much-needed to  
5 advance understanding of music engagement.

6 For instance, our model puts forward the claim that increases in attentional  
7 engagement with music will always be preceded by either experiences of curiosity or  
8 conscious recognition (meta-awareness) that one was mind-wandering. We suggest some  
9 version of a self-caught experience sampling methodology could be used to examine whether  
10 this is indeed the case. Another assumption of our model, that is heavily inspired by the PP  
11 framework, is that both low and high information content events can trigger curiosity  
12 depending on the predictability or entropy of the music at that moment. Accordingly, an  
13 interesting question that our model raises is whether such effects can be seen with an implicit  
14 approach like ISC. To date, measurement of shared music engagement, using ISC, has  
15 produced data that is in line with our PP-inspired model: Indeed, it follows from our model  
16 that there would be low ISC (engagement) during minimalist/simple/familiar pieces since  
17 (due to their low complexity features) people are likely mind-wandering rather than attending  
18 [20]. Similarly, it follows from our model, that given their more developed generative models,  
19 expert listeners tend to show sustained (as opposed to decreasing) levels of ISC to repetitions  
20 of unfamiliar music [43]. We highlighted earlier in this paper that the ISC approach is limited  
21 in only indicating 'shared engagement' across listeners. However, this fact does not preclude  
22 the usefulness of future studies probing the possibility that repetition drives peaks in ISC in  
23 the context of unfamiliar or complex music.

24 Staying with the idea of repetition, a related direction for future work would be  
25 extending the model to explicitly formalise how music engagement changes as a function of  
26 repeated listenings. It is widely recognised that, in addition to music being highly repetitive  
27 across cultures, listeners also tend to seek out repetition in the form of re-listening to favorite  
28 songs [103]. We propose that such re-listenings provide listeners the opportunity to explore  
29 the still yet-to-be-learned aspects of the music while also providing enjoyment thanks to the  
30 high processing fluency that accompanies strong veridical expectations. Researchers have  
31 long pondered over listeners' seemingly contradictory drive to experience both novelty and  
32 familiarity in music. The PP framework could help formalise what is likely simply a musical  
33 manifestation of a more general occurrence; a phenomenon referred to in the literature as  
34 the exploitation versus exploration dilemma [74,104].

35 With regard to methodologies, we suggest that, given the limitations of those we have  
36 reviewed (e.g., behavioural report and ISC), future studies on music engagement would  
37 benefit from adopting additional ways of measuring music engagement. One highly  
38 ecologically valid approach that could be taken is to combine virtual reality with eye-tracking  
39 technology (e.g., as in [105]) to explore how, for example, listeners shift their attention  
40 between virtual displays of a target music's source, on the one hand, and distracting visual  
41 stimuli, on the other. Similarly, given that mind-wandering has been described as the  
42 antithesis of both curiosity [106] and attention, the probe caught experience sampling  
43 methodology could be used to examine how rates of reported mind-wandering relate to  
44 dynamic changes in curiosity and attention as suggested by our model.

45 Probe caught experience sampling methodologies could also be used to test the  
46 assumption that positive valence occurs at a very specific time in relation to curiosity and  
47 attention. Here, we point out that while our model relates positive valence to accelerated

1 prediction error reduction and suggests it is most likely preceded by curiosity (and attention)  
2 and followed by mind-wandering, another type of positive experience of music may be  
3 expected to occur much earlier in the cycle than positive valence. Chills are pleasurable, often  
4 high arousal, sensations that are more closely tied to reward than emotion. Chills have tended  
5 to be associated with prediction violations per se [107] (see also literature on syncopation  
6 where prediction violation is related to pleasure [108–110]) and chills may therefore be  
7 expected to occur around those moments in which curiosity is triggered. Such an account is  
8 consistent with the idea that feelings of curiosity can be experienced as pleasurable [111]. It  
9 also highlights the likelihood that chills and positive valence may differ in the extent to which  
10 they reach conscious awareness (where chills, in being driven by prediction violation, may be  
11 more conscious than positively valenced feelings). Here, we note that the current model as it  
12 stands does not specify the dynamics of feelings of reward over time. However, should future  
13 empirical work support the above speculations, it would be useful for a revised version of the  
14 model to be extended in this way.

15 Other less urgent but still pertinent directions for future work include formalising  
16 those periods of music engagement that occur on the level of meaning making or that involve  
17 other non-visual sensory domains in the form of, for example, visual imagery [21,112]. Here,  
18 we argue that insights from the PP framework (e.g., see [113]) may continue to prove  
19 beneficial. Further, since the concept of engagement has risen in use in the context of  
20 aesthetics and media, and since predictive mechanisms are held to be crucial in many of these  
21 domains (e.g., [114]), our model could be adapted for use in a host of other non-music  
22 contexts.

23 Finally, we stress that besides providing testable hypotheses as to how music  
24 engagement unfolds, a major implication of our work is its potential to promote our general  
25 understanding of key psychological processes. Indeed, while there has been a steady  
26 evolution in thinking about how curiosity arises [115], an exciting development is the growing  
27 work on how it leads to enhanced attentional engagement [79,116], and memory (e.g., [23]).  
28 Similarly, new work is interrogating the idea that the arts promote knowledge and  
29 understanding [117,118], and that art appreciation involves prediction, learning and insight  
30 [90,114,119]. We suggest our model provides a principled basis for exploring such ideas  
31 further.

32

### 33 **Authors' Contributions**

34 DO provided the original conception and design of the article, participated in analysis and  
35 interpretation of data, and drafted and revised the MS critically. IM substantially contributed  
36 to the article's content and design, led data acquisition, analysis and interpretation, and co-  
37 drafted and revised the article critically.

38

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41

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44

### 45 **Competing interests**

46 The authors have no competing interests to declare.

47

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1 **Figure Captions**

2

3 **Figure 1:** Showing A) Overview of sample, task and experimental conditions. B) Amount of  
4 deployed attention as a function of expertise and musical style. List of pieces, data and code  
5 can be found in supplementary materials.

6

7 **Figure 2:** Model of time-varying music engagement. Music engagement constitutes several  
8 cycles of (curiosity), attention and positive valence, interspersed with moments of mind  
9 wandering (here, 5 cycles are shown). Refocusing on the music after an episode of mind-  
10 wandering is due to musical triggers or mental action, while modulatory factors include  
11 complexity, individual differences and listening context.

12

13