How effective is retrieval support for witnesses with different levels of working and source memory?

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How effective is retrieval support for witnesses with different levels of working and

source memory?

The present study examined the effectiveness of retrieval support for witnesses who differ in

working memory capacity and source monitoring abilities. We hypothesized that the

provision of retrieval support, relative to free recall, would compensate deficits linked to

lower working memory and source monitoring abilities by providing more structure and

context cues for retrieval. Thus, we expected no associations between recall performance and

working memory capacity and source monitoring abilities in the retrieval support group, but

significant positive associations in the free recall group. This study combined data from two

experiments ($N = 125$) in which participants either received retrieval support with the Self-

Administered Interview or completed a free recall along with working and source memory

tests. Contrary to our expectations, presence of retrieval support did not moderate the

relationship between working memory capacity and recall performance. In one of two source

memory tests, higher source memory scores were associated with more accurate accounts in

the retrieval support group, whereas in the free recall group, lower source memory scores

were associated with higher recall accuracy. This suggests that individuals with lower source

memory abilities may not benefit from retrieval support. We encourage replication with a

more heterogeneous sample.

*Keywords:* eyewitness memory, retrieval support, Self-Administered Interview, working

memory capacity, source monitoring.

Eyewitnesses are of crucial importance to the police and the courts, but their accounts can be incomplete or, even worse, inaccurate. There are many factors that influence the completeness and accuracy of eyewitness statements. These include situational factors, such as distance or lighting conditions, but also cognitive factors (Wells, 1978). In the current paper, we focus on two cognitive factors that are especially relevant for successful retrieval, namely working memory and source monitoring.

During retrieval from long-term memory, working memory is involved in maintenance and manipulation of information (e.g., Baddeley, 2000). In Baddeley's (Baddeley, 2010; Baddeley & Hitch, 1974) classic model, working memory comprises multiple short-term memory stores for verbal and visuo-spatial material. The model also includes the central executive that is responsible for attentional control and the supervision of the short-term memory stores. Similarly, Engle and colleagues (Engle & Kane, 2004; Engle, Tuholsky, Laughlin, & Conway, 1999) conceptualize working memory as consisting of domain-specific memory caches with rehearsal processes and domain-general executive attention. Individual differences in working memory capacity (WMC) are considered to reflect differences in executive attention. In Engle and colleagues' (Engle et al., 1999; Engle & Kane, 2004) model, executive attention is important for accessing information in long-term memory traces and holding this information together with current task goals active, especially during the simultaneous completion of complex tasks. Executive attention is also considered important for resolving interference through cognitive inhibition (i.e., attending to relevant information and suppressing irrelevant information) during retrieval, and monitoring of previous recall output (Baddeley, Emslie, Kolodny, & Duncan, 1998; Jahanshahi, Saleem, Ho, Dirnberger, & Fuller, 2006). To date, there is no consensus as to whether WMC is part of, or independent to, executive functioning. While working memory is sometimes subsumed under executive functioning (e.g., Elliott, 2003), other researchers consider it to be

independent, yet closely related to executive functioning (McCabe, Roediger, McDaniel, Balota, & Hambrick, 2010). Research has found both working memory and executive functioning to be highly correlated and to share the underlying component of executive attention (McCabe et al., 2010). Indeed, WMC is correlated with performance in executive attention tasks, such as the Stroop task, as well as with higher-level cognition, such as fluid intelligence (Kane & Engle, 2002). Moreover, both WMC and executive functioning are associated with prefrontal cortex activity (Kane & Engle, 2002). To summarize, successful retrieval is affected by WMC via the underlying executive attention component that is responsible for accessing and maintaining information from long-term memory, as well as for monitoring previous recall output (Engle & Kane, 2004; Jahanshahi et al., 2006).

Another important aspect for successful retrieval is source monitoring (Johnson, Hashtroudi, & Lindsay, 1993). Source monitoring occurs during working memory tasks and, like working memory, is intimately linked to prefrontal activity (Mitchell, Johnson, Raye, & Green, 2004). Source monitoring refers to evaluations of the origins of memory material (e.g., Does a remembered detail originate from the witnessed incident or from a different occasion?). Source monitoring evaluations are thought to rely on characteristics of the memory material, such as perceptual (e.g., sounds) and affective details, as well as the cognitive operations that are involved (e.g., deductions). Thus, material that stems from experienced events is thought to contain more perceptual, contextual, and affective information, and fewer cognitive operations than material that originates from imagination or fantasy. Errors in source monitoring can occur for several reasons (Johnson et al., 1993; see also the Constructive Memory Framework; Schacter, Norman, & Koutstaal, 1998). Since source monitoring entails the evaluation of activated memory records, it is dependent on the quality of the encoded information, including the binding of the different features of an event to form a coherent representation. Source monitoring is also dependent on the quality of the

retrieved information. Here working memory comes into play, which is responsible for accessing and holding active information from long-term memory. Finally, source monitoring relies on the quality of the judgment processes (e.g., use of appropriate criteria for the source decision). To summarize, source monitoring affects successful retrieval by determining the origin of the retrieved memory material. For this purpose, the characteristics of the memory material are evaluated. The quality of this evaluation depends on the quality of the encoded and retrieved information, as well as on the quality of the employed judgment processes (Johnson et al., 1993).

WMC and source monitoring can influence both correct recall and recall errors (e.g., McCabe et al., 2010; Unsworth & Brewer, 2010b; Unsworth & Engle, 2007; Zhu et al., 2010). Individuals with lower WMC typically recall fewer correct items than individuals with higher WMC (e.g., Rosen & Engle, 1997; Unsworth & Brewer, 2010b). Moreover, low WMC and deficits in source monitoring are associated with more recall errors (e.g., Unsworth & Brewer, 2010a, 2010b) and more false recognitions (Peters, Jelicic, Verbeek, & Merckelbach, 2007).

The relationship between WMC and recall performance has also been examined in the context of eyewitness memory. For example, in an experiment by Jaschinski and Wentura (2002), participants watched a film depicting a staged crime. Next, they read a narrative about the film that contained both correct information and misleading information. The authors found that individuals with higher WMC (as measured with the Operation span task; Turner & Engle, 1989) were less susceptible to the misinformation effect (i.e., were less likely to report the misleading information). Similar results were obtained when memory for misinformation was assessed in a recognition test (Zhu et al., 2010). Another eyewitness study examined proneness to intrusions (i.e., the reporting of non-pictured details) as a

function of WMC (Gerrie & Garry, 2007). In that study, participants with high WMC exhibited a lower false recognition rate of crucial details than participants with low WMC.

So far, eyewitness studies examining the relationship between cognitive factors and memory performance have focused on how low WMC is related to memory errors. From a practical point of view and in line with a positive psychological approach (see also Meissner, Hartwig, & Russano, 2010), an important question is whether there are ways to remedy the performance deficit of people with lower WMC and source monitoring abilities. In fact, there are many groups of potential witnesses who are characterized by reduced levels of WMC and source monitoring, including individuals with lower intelligence (Engle et al., 1999), the elderly (Johnson et al., 1993; Salthouse, 1994), or individuals with particular mental disorders (e.g., Abraham, Windmann, McKenna, & Güntürkün, 2007; Marchetta, Hurks, Krabbendam, & Jolles, 2008). The aim of the present study was to test the effectiveness of retrieval support in individuals with different levels of WMC and source monitoring. Retrieval support refers to techniques that facilitate retrieval and help the witness during recall. One such technique is mental context reinstatement, which is part of the Cognitive Interview (CI; Fisher & Geiselman, 1992). It is based on the principle of encoding specificity (Tulving & Thomson, 1973) which proposes that recall is improved to the extent that encoding context is recreated, or reinstated, during retrieval. To this end, witnesses are instructed to think back to what they saw, heard, thought, and felt while witnessing the incident. These contextual cues are stored parallel to the memory of the incident and promote retrieval by providing additional access pathways to the memory (see also network models of memory; Anderson, 1983).

Another interview that provides extensive retrieval support is the recently developed Self-Administered Interview (SAI©; Gabbert, Hope, & Fisher, 2009; Hope, Gabbert, & Fisher, 2011). This interview format is independently completed by witnesses at the crime

scene so as to elicit an early comprehensive statement when the police do not have the time to conduct an immediate personal interview. The SAI© is based on the Cognitive Interview (Fisher & Geiselman, 1992) and uses some of its memory-enhancing components, such as the mental context reinstatement and the "report-everything" instruction (i.e., the instruction to provide the most complete and accurate account possible). The SAI© includes non-leading questions and discourages witnesses from guessing. It consists of different sections each focusing on a different topic (e.g., course of events, appearance of the perpetrator) and hence, provides a strong structure for recall. Furthermore, recall of spatial information is facilitated by asking witnesses to provide a sketch of the scene. Thus, the SAI© makes use of multiple and varied retrieval, which has been proven beneficial for recall performance because details that cannot be accessed with one method may well become accessible with another one (Tulving & Watkins, 1975). It has been found that the SAI© induces a more comprehensive account than a control interview (i.e., a free recall), without compromising accuracy (Gabbert et al., 2009; Gawrylowicz, Memon, & Scoboria, 2014; Krix, Sauerland, Gabbert, & Hope, 2014), and can preserve memory for a subsequent interview (Hope, Gabbert, Fisher, & Jamieson, 2014; Krix et al., 2014).

Previous eyewitness research that took into account individual differences in cognitive measures, such as WMC, either focused on only a small part of eyewitness testimony (i.e., reporting of misinformation; Jaschinski & Wentura, 2002; Zhu et al., 2010) or used recognition tests (Gerrie & Garry, 2007; Zhu et al., 2010) that are far removed from eyewitness interviews employed in normal police practice. With this in mind, the present study relied on an eyewitness paradigm that resembles real-life situations more closely to examine the relationship between working and source memory abilities and memory performance.

We considered the SAI© as a proxy tool for providing witnesses with retrieval support. Free recall, which simply instructs people to provide a free narrative of the incident and does not feature memory-enhancing components, was selected as a format that does not provide retrieval support. We examined whether relative to individuals with high WMC and source monitoring abilities, individuals with lower WMC and source monitoring abilities would benefit more from retrieval support than from free recall. We expected that interviews providing high levels of retrieval support (such as the SAI©) may aid executive attention. More specifically, the SAI© with its different sections each focusing on a distinct topic may provide more structure during recall than free recall interviews. This may help focus attention on the recall task and suppress irrelevant information. Furthermore, the retrieval cues provided in interviews with ample retrieval support may directly help access information from long-term memory. Finally, the cues may also help compensate difficulties with keeping in mind what else one still wants to recall and help not to forget recalling certain aspects of the incident (e.g., clothing of the perpetrator). In doing so, retrieval support may attenuate the consequences of attentional deficits associated with lower WMC.

Source monitoring is dependent on the quality of the encoded information, as well as on the quality of the retrieved information and of the judgment processes (Johnson et al., 1993). While the quality of the encoded information is beyond the influence of manipulations during the retrieval phase, at least the quality of the retrieval and judgment parts of source monitoring should be accessible for manipulations of the interview type. Unlike free recall, the SAI© provides context cues that facilitate the retrieval process (Tulving & Thomson, 1973). Context cues are stored alongside the target event and provide additional pathways to get access to the recollection (Smith, 1994). Indeed, it has been suggested that context reinstatement may therefore facilitate source monitoring (Memon, Zaragoza, Clifford, &

Kidd, 2010). Because improved source monitoring aids retrieval, especially individuals who have difficulties with source monitoring should benefit from context reinstatement.

Thus, by assisting executive attention and facilitating source monitoring, retrieval support should be beneficial to individuals with lower WMC and source monitoring abilities when they try to recall complex information. Hence, we expected an interaction between presence of retrieval support and level of WMC and source monitoring abilities. More specifically, in the free recall group, we expected a positive association between WMC and source monitoring performance and both number of correct details and accuracy. A negative association was expected between WMC and source monitoring performance and number of incorrect details. For the group receiving retrieval support with the SAI©, we expected lower or null correlations between WMC and source monitoring performance and number of correct details, number of incorrect details, and accuracy.

## Method

### Design

The present study is an overarching analysis and combines data from two experiments (Experiment 1: $n = 88$; Experiment 2: $n = 81$; reported in Krix et al., 2014). In both experiments, participants were tested individually and randomly assigned to the interview conditions, receiving either an SAI© or a free recall as the recall tool. Hence, a between-participants design was employed with presence or absence of retrieval support (retrieval support with the SAI© vs. free recall) as the independent variable. The WMC and source memory measures (which have not been reported previously) were consistently collected in both experiments.

Of note, in Experiment 1, half of the participants ($n = 44$) watched the stimulus film with divided attention. These were excluded from the current analyses for the following

reasons. The divided attention manipulation impaired subsequent recall performance (see

Krix et al., 2014, for a comprehensive description of methodology and findings). As

distraction disrupts encoding, the quality of the stored information is degraded (Craik,

Govoni, Naveh-Benjamin, & Anderson, 1996). Therefore, the retrieval advantage of

individuals with higher WMC and source monitoring abilities can take less effect. This is

likely to distort the differences between participants with high and low levels of working and

source memory, by making their recall performance more similar. Such equalization may

eventually obscure the relationship between recall performance and scores in the cognitive

tasks.

## Participants

The resulting sample comprised $N = 125$ participants (91 women, 34 men; $M_{age} =$

22.51, $SD_{age} = 5.84$, range: 18-64, $Mdn = 21$ years), with $n = 44$ (SAI©: $n = 22$, free recall: $n$

$= 22$) participants from Experiment 1 and $n = 81$ (SAI©: $n = 41$, free recall: $n = 40$)

participants from Experiment 2. The pattern of recall performance was analogous across both

experiments (see Krix et al., 2014). Participants were native speakers of German ($n = 101$) or

Dutch ($n = 24$). They were psychology undergraduates (85%), students of other areas of

study (12%), or were recruited from the general public (3%). Note that the samples of

Experiment 1 and 2 did not differ with regard to mean age, $F(1, 123) = 0.56$, $p = .454$,

gender, $p = .999$ (two-sided; Fisher's exact test), and occupation (student vs. non-student), $p$

$= .125$ (two-sided; Fisher's exact test). Participants received course credit or a 15 € voucher

in exchange for participation. Inclusion criteria were German or Dutch as the native language

and an age of 18 to 65 years.

**Materials**

**Stimulus film.**

The non-violent stimulus film, presented without audio track, lasted 3:14 min and showed the staged theft of a laptop. Six amateur actors (4 men, 2 women, aged 21 to 36) appeared in the film. The scene was situated at a communal area at a university. One student left his laptop unattended, whereupon the thief, incited by the accomplice, stole it and both left the scene.

**Recall tools: Eyewitness interviews.**

*SAI©.*

German and Dutch translations of the original English version of the SAI© (Gabbert et al., 2009; see Hope et al., 2011, for a detailed description) were used (see Krix et al., 2014, for previous use of these materials). First, witnesses were asked to mentally reinstate the context. That is, they were instructed to think back to the witnessed incident and picture what they could see or hear, and what they thought or felt at the time. Hereafter, witnesses described the course of events. In subsequent sections, non-leading cues were used to prompt descriptions of the appearance of the perpetrator(s), and, if applicable, of potential other witnesses or vehicles involved. Witnesses were also asked to draw a sketch of the scene, so as to facilitate recall of positions and directions (i.e., spatial information). Accordingly, the SAI© relied on multiple and varied retrieval. In the final sections, details relating to the witnessing conditions (e.g., lighting conditions) were prompted and witnesses had the opportunity to write down any other information that came to mind. In every section, witnesses were reminded to provide the most complete and accurate account of the witnessed incident, but not to guess.

*Free recall.*

Following Gabbert et al. (2009), in the free recall form, witnesses were merely requested to provide a description of the sequence of actions and events and a description of all persons involved, including the perpetrator(s) and other witnesses. As in the SAI©, participants were reminded to provide the most complete and accurate account possible, but not to guess. In contrast to the SAI©, the free recall lacked memory-enhancing components (e.g., mental context reinstatement). It entailed only one instead of multiple and varied retrieval attempts and did not provide prompts to cue recall.

**Working memory test: Operation span task.**

As a measure of WMC, the Operation span (Ospan) task required participants to pursue a secondary task, while remembering items (Engle, Cantor, & Carullo, 1992; Turner & Engle, 1989). Specifically, participants solved arithmetic problems, while remembering words. They were presented with operation strings, that is, equation-word pairs (e.g., "Is (10/5)-3=2? PAINT"). They had to read aloud the equation and subsequently determine by mental arithmetic, whether the suggested solution was correct or incorrect. Hereafter, participants read aloud the to-be-remembered word whereupon the next equation-word pair appeared. After the last operation string, three question marks appeared on screen, which marked the end of a trial and was the prompt for participants to write down the to-be remembered words from the previous trial. Set size (i.e., number of equation-word pairs) of a given trial varied from two to five. For each set size, there were three trials, yielding 12 trials in total. Set size varied pseudo-randomly. We used the partial-credit unit scoring to quantify performance (Conway et al., 2005). That is, a correctly recalled word was considered a correct response, irrespective of whether the word had been recalled in the correct order. Subsequently, average accuracy across trials was calculated.

**Source monitoring tests.**

In line with Unsworth and Brewer (2010a), two source monitoring tests were used to measure source memory performance.

*Picture source monitoring.*

In the picture source monitoring test, participants were shown 30 pictures that appeared for 1 s, one at a time, in one of four quadrants on screen. Participants were instructed to pay attention to both the picture and the quadrant in which the picture appeared. At test, they were presented with 30 old and 30 new pictures, each displayed in the center of the screen. Participants had to indicate, whether a picture was old or new. If considered old, they were asked to specify in which quadrant it had appeared. The pictures were taken from Rossion and Pourtois (2004).

*Gender source monitoring.*

In the gender source monitoring test, participants heard 30 English one-syllable nouns, which were spoken by either a female or male voice. They were instructed to pay attention to both the word and the gender of the voice. At test, participants were presented with 30 old and 30 new words that were shown to them on screen. They were instructed to indicate, whether a word was old or new. If considered old, they had to specify whether it had been spoken by the male or the female voice.

For both tests, the order of the stimuli during encoding and at test had initially been randomly determined and the same order was then applied to all participants. No time limits were imposed on the responses. However, after 5 s had elapsed without a response, a warning appeared urging participants to respond faster. For each participant, two scores were calculated, a picture source monitoring score and a gender source monitoring score. As in

Unsworth and Brewer (2010a), the score was the proportion of correct responses across all

items of each task.[1]

**Procedure**

Approval for the experiments was obtained by the local ethics committee of the

faculty. We made use of a cover story telling participants that the research dealt with social

perception. After signing an informed consent and providing demographic information,

participants were shown the stimulus film and were asked to watch carefully. The film was

presented on a 22 in. (55.88 cm) screen with a resolution of 1920 x 1080 pixels. Hereafter,

the working memory (Ospan) and source monitoring tests were completed, which were

computer-based and run with E-Prime 1.1.4.1 and Presentation 14.8, respectively.

Participants were then taken to a different room to avoid effects of physical context on recall

performance. Approximately 30 minutes after watching the film, they completed their

interviews, either an SAI© or a free recall, depending on the retrieval support condition.

After finishing data collection, participants were fully debriefed.

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[1] We also calculated conditionalized source identification scores (i.e., the ratio of the number

of correct source identifications of all old items correctly identified as old; Unsworth &

Brewer, 2009). Unlike proportion correct, this score only considers the old items. Replicating

previous findings (Nash Unsworth, personal communication, April 25th, 2014), correlations

between proportion correct and conditionalized scores were high (picture source: $r[123] =$

.91; gender source: $r[123] = .81$, $p$s $< .001$). Entering the conditionalized scores into the

regression equations yielded analogous results as the equations with the proportion correct

scores. Only the interaction between gender source monitoring score and presence of retrieval

support on the number of incorrect details changed from significant to marginally significant

($p = .059$). The proportion correct score is reported in this manuscript as it has the advantage

that it uses information from all test items.

**Coding**

Following previous SAI© studies (Gabbert et al., 2009; Hope et al., 2014), participants' statements were transcribed and coded against a detailed coding scheme. For example, the statement "The thief sat at the table on the right." would yield four details (for further details see Krix et al., 2014; see also Sauerland, Krix, van Kan, Glunz, & Sak, 2014). Subjective responses, such as "He was attractive", were not scored. A detail was coded as correct, if it matched the content of the film, and coded as incorrect, if it did not. Confabulations were defined as incorrect details referring to non-existent details (e.g., the thief attacked the victim; see Dando, Wilcock, & Milne, 2009). To code the accuracy of age, height, and weight estimates, we accepted deviations of 2 years, 4 cm, or 3 kg from the true value (see Fahsing, Ask, & Granhag, 2004). In the SAI©, information from the sketch was also coded. As was the case for verbal recall, a-priori coding rules were also specified for non-verbal output. Specifically, objects and their positions as well as the positions and moving directions (in the SAI©, witnesses are informed that they may use arrows for indicating directions) of the persons drawn in the sketch were scored. Only objects and persons that were labeled (e.g., thief) by the participant in the sketch were coded. Not all participants used labels and generally, the sketches yielded only few extra details relative to the other sections.

Regarding inter-coder reliability, the randomly selected statements of ten Dutch and ten German statements (i.e., 20 statements in total) were independently coded by two coders (see Krix et al., 2014). There was high agreement for correct (German: $\kappa = .99$; Dutch: $\kappa = .98$; $p$s $\leq .001$) and incorrect recall (German: $\kappa = .98$; Dutch: $\kappa = .94$; $p$s $\leq .001$).

**Results**

In line with the recommendations provided by Conway et al. (2005) and to ensure that enough attention was paid to the verification element of the Ospan task, participants whose accuracy rate was less than 85% ($n = 5$) in the verification element were excluded from analysis (note that this had no overall effect on the results). Unfortunately, the number of calculation errors was only available for participants from Experiment 2, but not for those from Experiment 1. Hence, participants from Experiment 1 who failed to pass the 85% accuracy limit in the verification element could not be identified and removed from the sample.[2]

In the Ospan task, the average memory scores obtained were $M = 80.93\%$ ($SD = 8.65$; *range* = 48.47% - 98.33%). For the picture and gender source test, the average scores were $M = 82.51\%$ ($SD = 9.20$; *range* = 55.00% − 98.33%) and $M = 65.44\%$ ($SD = 10.36$; *range* = 40.00% − 95.00%), respectively. Performance in the Ospan task was not correlated with performance in the picture source monitoring score, $r(118) = -.09$, $p = .337$, but positively

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[2] Although the missing of these data is not optimal, we do not believe that our results were influenced for the following reasons. First, even though the cases from Experiment 2 that were removed scored rather low in the Ospan task (percentile ranks ranging from 2.5%-39.5% of the Experiment 2 sample), they were by no means outliers. As the sample of Experiment 1 was in many ways comparable to the sample of Experiment 2, there is no reason to believe that patterns would have been different in Experiment 1. Second, usually a very small fraction of participants is affected by an excessive number of errors (Kane & Engle, 2000; Turner & Engle, 1989). Third, and most importantly, previous research found that the pattern of correlations of the Ospan score with other working memory measures remained unaffected after participants with an accuracy rate of less than 85% were excluded from analysis (Unsworth, Heitz, Schrock, & Engle, 2005).

correlated with the gender source monitoring score, $r(118) = .23$, $p = .012$. The correlation between picture and gender source monitoring scores was $r(123) = .07$, $p = .430$.

We performed multiple regression analyses (enter method) on the data. As predictors, a dummy variable to code for the presence of retrieval support (retrieval support = 1; free recall = 0), the centered Ospan and source monitoring scores, and the interaction terms were entered into the equations (i.e., seven predictors in total). An a-priori power analysis with G*Power 3.1 (Faul, Erdfelder, Lang, & Buchner, 2007) yielded a required total sample size of $N = 103$ participants, given $\beta = .80$, $\alpha = .05$, and a medium effect size. The number of correct and incorrect details, and accuracy (number of correct details divided by all reported details; see Meissner, Sporer, & Susa, 2008) were the dependent variables. When the interaction terms were significant, we analyzed the simple slopes of both interview groups. When the interaction terms were non-significant, they were removed one at a time and the analyses re-run until only significant interaction terms or main effects remained. Table 1 shows the means and standard deviations of the recall performance for the retrieval support and the free recall group. Table 2 shows the results of the final regression equations, after non-significant interactions were removed.

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Insert Tables 1 and 2 about here

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**Working Memory Capacity (Ospan score) and Presence of Retrieval Support**

We expected an interaction between WMC as measured with the Ospan task and presence of retrieval support. That is, in the free recall group, the Ospan score was expected to be positively related to the number of correct details and accuracy and negatively related to the number of incorrect details. In the retrieval support group, no significant associations of Ospan score and recall performance should emerge. However, all interactions were non-

significant, $|B|s \le 27.05$, $|\beta|s \le .06$, $ps \ge .650$, and there were no significant main effects of

the Ospan score after removing the interaction terms, either, $ps \le .289$ (see Table 2).

**Source Monitoring Ability and Presence of Retrieval Support**

As for WMC, we expected an interaction between source monitoring abilities and

presence of retrieval support. That is, in the free recall group, the source monitoring scores

should be positively related to the number of correct details and accuracy and negatively

related to the number of incorrect details. In the retrieval support group, no significant

associations of source monitoring scores and recall performance should emerge.

### Number of correct details.

In contrast to our hypotheses, we did not find any significant interactions of presence

of retrieval support with the picture source monitoring score, $B = 66.27$, 95% CI [-39.50,

172.04], $SE = 53.39$, $\beta = .13$, $p = .217$, or the gender source monitoring score, $B = 21.83$, 95%

CI [-70.25, 113.92], $SE = 46.48$, $\beta = .05$, $p = .639$. As can be derived from the positive

regression coefficient in Table 2, irrespective of the presence of retrieval support, a higher

picture source monitoring score was associated with the recall of more correct details ($p =$

.017). The main effect of the gender source monitoring score was non-significant ($p = .625$).

### Number of incorrect details.

The interaction between presence of retrieval support and picture source monitoring

score was not significant, $B = -8.13$, 95% CI [-29.58, 13.32], $SE = 10.83$, $\beta = -.08$, $p = .454$.

The same held for the main effect of the picture source monitoring score, $p = .372$ (see Table

2).

The interaction between presence of retrieval support and gender source monitoring

score was significant, $B = -29.99$, 95% CI [-48.51, -11.47], $SE = 9.35$, $\beta = -.38$, $p = .002$.

Although the interaction was significant, the analysis of the simple slopes revealed a pattern that was exactly the opposite of the expected pattern. More specifically, the analyses of the simple slopes yielded a non-significant effect for the free recall group, $B = 10.32$, 95% CI [-3.20, 23.84], $SE = 6.82$, $\beta = .18$, $p = .133$. For the retrieval support group, in contrast, a negative association emerged such that a higher gender source monitoring score was associated with the recall of fewer incorrect details, $B = -19.67$, 95% CI [-32.75, -6.60], $SE = 6.60$, $\beta = -.35$, $p = .004$. Figure 1 displays the results of this simple slope analysis for the number of incorrect details.

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Insert Figure 1 about here

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**Accuracy.**

As with correct and incorrect details, there was no significant interaction between the picture source monitoring score and presence of retrieval support, $B = 0.15$, 95% CI [-0.02, 0.33], $SE = 0.09$, $\beta = .21$, $p = .080$, although a higher picture source monitoring score was associated with higher recall accuracy, $p = .046$ (see Table 2).

The interaction between presence of retrieval support and gender source monitoring score was significant, $B = 0.26$, 95% CI [0.11, 0.41], $SE = 0.08$, $\beta = .44$, $p = .001$. As with incorrect recall, the analysis of the simple slopes yielded results opposite to the expected pattern. That is, for the free recall group, a lower gender source monitoring score was associated with a higher accuracy, $B = -0.14$, 95% CI [-0.25, -0.03], $SE = 0.06$, $\beta = -.31$, $p = .016$. In contrast, for the retrieval support group, a lower gender source monitoring score was associated with lower accuracy, $B = 0.13$, 95% CI [0.02, 0.23], $SE = 0.05$, $\beta = .29$, $p = .019$. Figure 2 displays the results of this simple slope analysis for recall accuracy.

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Insert Figure 2 about here

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## Discussion

In the present study, we sought to extend the existing research on the relationship between working memory and source monitoring and recall performance within the context of eyewitness testimony. More specifically, the aim of the present study was to examine the effect of retrieval support in witnesses with different levels of WMC and source monitoring abilities on recall performance. The recently developed SAI© (Gabbert et al., 2009) was selected as a proxy tool for providing witnesses with retrieval support. We hypothesized that retrieval support, not free recall, would be especially beneficial for individuals with lower relative to higher WMC or source monitoring abilities.

In contrast to our hypotheses, there were no significant interactions between presence of retrieval support and WMC to predict recall performance. This suggests that the greater structure provided by retrieval support may not be a suitable means to compensate deficits in executive attention found in individuals with lower WMC (Engle et al., 1999; Engle & Kane, 2004). Given that the SAI© already entailed a considerable amount of retrieval support, it is unlikely that a further increase in retrieval support would have brought about a significant change of the pattern of results. We had reasoned that the greater structure provided in the SAI© because of its different recall sections would help witnesses focus on the current recall task and suppress irrelevant information. Furthermore, the retrieval cues should help access the memory and keep in mind what else one wants to recall. As described in the introduction, attending to relevant information and holding current task goals active are not the only activities working memory is involved in (Engle et al., 1999; Engle & Kane, 2004). Although

speculative, it may be the case that holding the retrieved information active and monitoring previous output required so much executive attention that the retrieval support did not provide sufficient compensation.

The question could be raised whether retrieval support with the SAI© may actually have increased cognitive load. One argument in favor of this could be that the more comprehensive recall instructions used in the SAI© may have been more difficult to memorize than those used in the free recall. However, we do not think that retrieval support with the SAI© led to elevated levels of cognitive load. If anything, the SAI© reduces the necessity to memorize instructions. As it is a *written* interview, the instructions are always visible to the witnesses and can be reread at the witnesses' own pace if necessary. Consequently, the influence of instruction comprehensiveness on cognitive load should be reduced. Furthermore, the SAI© is completed in the absence of an interviewer. The presence of others has been found to increase cognitive load and to impair performance in tasks involving frontal activity (Wagstaff et al., 2008). Retrieval from memory is such a task (Kane & Engle, 2002). Hence, two important components often found in interviews that lead to increased cognitive load are simply absent in the SAI©. More importantly, the notion that the SAI© induced higher cognitive load than free recall was not supported by the data. If retrieval support with the SAI© had increased cognitive load relative to free recall, a significant interaction opposite to the hypothesized pattern would have emerged. More specifically, a significant positive relationship between WMC and recall performance in the retrieval support group and a non-significant relationship between WMC and recall performance in the free recall group would have been observed. This pattern should have emerged because in the interview condition imposing less cognitive load (i.e., free recall in this example), recall performance of individuals with higher and lower WMC should hardly differ, hence the obscured relationship between WMC and recall performance. The reason for

this is that under low cognitive load the available cognitive capacity of participants with

lower WMC may still be sufficient to adequately retrieve information, because working

memory is occupied only little by other tasks (e.g., memorizing instructions). When the

interview imposes high cognitive load (i.e., the SAI© in this example), however, individuals

with lower WMC may quickly experience overload and have not enough remaining capacity

to adequately retrieve information from memory. On the other hand, the capacity of

individuals with higher WMC may still be sufficient to correctly retrieve information in this

situation. Consequently, individuals with higher WMC should exhibit better recall

performance than individuals with lower WMC (i.e., a positive relationship between WMC

and recall performance). However, no significant interactions were obtained. So while the

SAI© did not reduce cognitive load relative to free recall, it did not seem to increase it,

either. The question could arise, whether using the related Cognitive Interview instead of the

SAI© as a proxy tool for ample retrieval support would have yielded more positive results.

We deem this unlikely, because the Cognitive Interview probably induces higher cognitive

load than the SAI© given that it involves an interviewer and that the possibility to review the

instructions is limited (i.e., participants usually hear the instructions only once).

Since WMC did not predict recall performance in the present study at all (i.e., there

were no significant main effects, either), our findings should, perhaps, be considered with

caution. There is no obvious reason for these non-significant results. Previous studies also

involving homogenous samples of undergraduates have identified associations between

WMC as measured with the Ospan task and memory performance (Gerrie & Garry, 2007;

Jaschinski & Wentura, 2002; but see Peters et al., 2007, who did not find a relation between

memory performance and Ospan score within an undergraduate sample). Unfortunately, we

could not compare the ranges throughout, as Jaschinski and Wentura (2002) did not report

them. Moreover, Gerrie and Garry (2007) employed the computerized version of the Ospan

task that uses different stimuli and hence precludes a direct comparison of the scores. Yet, in the studies that allowed comparisons (Peters et al., 2007; Sauerland et al., 2014, Experiment 1), the mean and range of the Ospan scores were similar to those obtained here. In the absence of established norms for the paper-pencil Ospan task, this suggests that the mean and range found here may be typical of undergraduate samples.

      With respect to source monitoring performance, the hypotheses were not supported, either. Specifically, for the gender source monitoring test, we found results opposite to the expected pattern. That is, in the retrieval support group, a higher gender source monitoring score was associated with fewer recall errors and more accurate reports. In contrast, in the free recall group, a lower score was associated with more accurate reports. Although there were no interactions between presence of retrieval support and the picture source monitoring score, we obtained the usual pattern that better source monitoring was associated with better recall performance. Unlike previous findings (Unsworth & Brewer, 2010a), this pattern was not due to fewer errors, but due to recall of more correct details. It is noteworthy that the two source monitoring tasks were not correlated and yielded different results. The former contradicts previous studies in which the two tasks were significantly correlated and loaded on a source memory factor that was distinct from other factors, such as working memory (Sauerland et al., 2014; Unsworth & Brewer, 2010a). We can only speculate about this discrepancy regarding the correlation pattern, especially given the analogous sample compositions and similar means and ranges. As to the divergent findings in the picture and gender source monitoring tasks, the modality may have played a role. The interaction occurred for the gender source monitoring task that, as the recall task, is a verbal task. Matching the modality may be more diagnostic of the demands of the recall task and would seem to suggest that modality needs to be considered when relating source monitoring ability to other performance. This explanation, however, does not fully capture the pattern of results,

because the visual picture source monitoring task also predicted verbal recall performance, albeit across interviews. It is also conceivable that the non-significant interaction regarding the picture source monitoring task simply constituted an outlier. Recent replication reports show that effect sizes can vary and outliers do occur (Alogna et al., 2014).

Contrary to previous assumptions (Memon et al., 2010), it thus seems that providing witnesses with retrieval support did not facilitate source monitoring. Importantly, our results are consistent with findings obtained in a sample with autism spectrum disorders (ASD), a condition known to be associated with reduced source monitoring performance (Bowler, Gardiner, & Berthollier, 2004). Specifically, in two studies, Maras and Bowler (2010, 2012) found that individuals with ASD did not benefit from recall with the CI and the use of mental context reinstatement in particular. When interviewed with a structured interview that lacked mental context reinstatement, no differences emerged between participants with ASD and participants without ASD. Source monitoring is dependent not only on the quality of the retrieved information and of the judgment processes, but also on the quality of the encoded information (Johnson et al., 1993). To the extent that the latter of these three factors is decisive for successful source monitoring, providing retrieval support that is targeted on the retrieval phase is unlikely to enhance remembering. Indeed, problems with source monitoring have been related to binding deficits during encoding (Chalfonte & Johnson, 1996). These refer to problems in binding details of the target event with feature information necessary to specify the source, such as spatial or temporal (i.e., context) information (see also the Constructive Memory Framework; Schacter et al., 1998). For successful mental context reinstatement, however, intact connections between context cues and details of the target event are essential. If the context is not connected to the event, the context cues cannot provide access to the memory of the event (see Maras & Bowler, 2012). Failure to establish the links during encoding could be the reason why mental context reinstatement may be

ineffective for participants with lower source monitoring abilities. Therefore, retrieval support with the SAI© that, unlike free recall, made use of context reinstatement may not have had beneficial effects for the participants with lower source monitoring abilities. However, the advantage of retrieval support for individuals with high source monitoring abilities was observed for only the gender source monitoring task. As for the picture source monitoring task and recall performance, the interview type was irrelevant. Therefore, the interpretation of the source memory results should be considered with caution and we strongly encourage future research to explore these issues further. Corroborating our findings, however, a recent study by Maras, Mulcahy, Memon, Picariello, and Bowler (2014) suggests that providing retrieval support with the SAI© may not be an appropriate strategy for witnesses with ASD. Specifically, the SAI© elicited less accurate reports from participants with ASD than a control interview that lacked retrieval support components. This finding could mainly be attributed to the context reinstatement section of the SAI©. No such differences as a function of interview type emerged for the participants without ASD. As argued before (Maras & Bowler, 2012), Maras et al. consider the deficits in executive functioning found in individuals with ASD to be responsible for the ineffectiveness of retrieval support with the SAI© and mental context reinstatement in particular.

Turning to the limitation of this study, the sample that was tested predominantly consisted of undergraduates. Indeed, it could be criticized that we did not test participants who were characterized by low WMC and source monitoring abilities (e.g., individuals with low intelligence). Moreover, testing undergraduates who cognitively functioned at a high level and were unlikely to differ much regarding cognitive tasks (see Peters et al., 2007) made the sample rather homogenous. Therefore, if anything, our findings probably underestimated the associations between WMC and source monitoring and recall performance. However, previous research found significant associations between cognitive

measures and recall performance even in undergraduate samples (e.g., Jaschinski & Wentura, 2002; Peters et al., 2007; Unsworth & Brewer, 2010a, 2010b). Nevertheless, our findings require replication in mixed samples of people with low WMC and source memory (e.g., patient groups) and healthy people.

Apart from examining the relationship between retrieval support and WMC and source memory in a more diverse sample, there is a second research line that could be addressed in future research. Given that retrieval support was not effective, it should be the aim of future research to investigate whether there are other means to help witnesses with reduced WMC and source monitoring abilities remember and obtain highly accurate and complete accounts. The importance of such an endeavor is underlined by the fact that many victims of crimes are psychiatric patients (Walsh et al., 2003) who often have poor executive functioning (e.g., Abraham et al., 2007). We therefore urge further research on this important topic.

In conclusion, the results of the current study appear to suggest that providing retrieval support is not an effective means to help witnesses with lower WMC or source monitoring abilities remember. While retrieval support seems to be equally effective for witnesses with high or low WMC, source monitoring ability appears to be critical for completing an interview with retrieval support that comprises mental context reinstatement. Witnesses with reduced source monitoring abilities may not benefit from mental context reinstatement during retrieval because of a deficit that already emerges in the encoding phase, that is, the failure to establish links between target and contextual information during encoding (Chalfonte & Johnson, 1996). As such, it may be prudent to advise caution when administering such interviews to witnesses with reduced source monitoring abilities. However, it is important to note that this is the first study to examine the interaction of retrieval support and WMC and source monitoring ability to predict eyewitness recall

performance. Hence, replications are critical, especially with a more diverse sample than the

one used here.

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Table 1

*Means and Standard Deviations for Number of Correct and Incorrect Details, and Accuracy*

*as a Function of Presence of Retrieval Support*

| | Free Recall ($n = 62$) | | Retrieval Support ($n = 63$) | |
|---|---|---|---|---|
| | *M* | *SD* | *M* | *SD* |
| Correct Details | 85.89 | 24.52 | 117.16 | 28.20 |
| Incorrect Details | 10.06 | 5.16 | 14.43 | 5.72 |
| Accuracy (%) | 89.55 | 4.59 | 88.83 | 4.37 |

*Note.* The means and standard deviations reported in this table result from a combination of the recall data of Experiment 1 and Experiment 2 reported in Krix et al. (2014).

Table 2

*Regression of Recall Performance on Ospan and Picture and Gender Source Monitoring Score, and Presence of Retrieval Support*

| Variable | B | 95% CI (B) | SE | β | t | p | R² | 95% CI (R²) | F |
|---|---|---|---|---|---|---|---|---|---|
| Correct details | | | | | | | .30 | [.17, .43] | 12.07** |
| D1 | 31.01 | [21.39, 40.62] | 4.85 | .50 | 6.39 | < .001 | | | |
| Ospan | 30.97 | [-26.56, 88.49] | 29.04 | .09 | 1.07 | .289 | | | |
| Picture source | 64.42 | [11.58, 117.26] | 26.68 | .19 | 2.42 | .017 | | | |
| Gender source | -11.71 | [-59.01, 35.59] | 23.88 | -.04 | -0.49 | .625 | | | |
| Incorrect details | | | | | | | .23 | [.10, .36] | 6.87** |
| D1 | 4.66 | [2.72, 6.60] | 0.98 | .39 | 4.76 | < .001 | | | |
| Ospan | 6.15 | [-5.45, 17.75] | 5.86 | .09 | 1.05 | .296 | | | |
| Picture source | -4.83 | [-15.48, 5.83] | 5.38 | -.07 | -0.90 | .372 | | | |
| Gender source | 10.32 | [-3.20, 23.84] | 6.82 | .18 | 1.51 | .133 | | | |
| Gender source x D1 | -29.99 | [-48.51, -11.47] | 9.35 | -.38 | -3.21 | .002 | | | |
| Accuracy | | | | | | | .13 | [.02, .24] | 3.45* |
| D1 | -0.01 | [-0.03, 0.01] | 0.01 | -.10 | -1.18 | .241 | | | |
| Ospan | -0.02 | [-0.11, 0.08] | 0.05 | -.03 | -0.37 | .711 | | | |
| Picture source | 0.09 | [< 0.01, 0.17] | 0.04 | .18 | 2.02 | .046 | | | |
| Gender source | -0.14 | [-0.25, -0.03] | 0.06 | -.31 | -2.45 | .016 | | | |
| Gender source x D1 | 0.26 | [0.11, 0.41] | 0.08 | .44 | 3.47 | .001 | | | |

*Note.* D1 = dummy variable to code for the presence of retrieval support.

** $p < .001$, * $p < .01$.

**Figures**
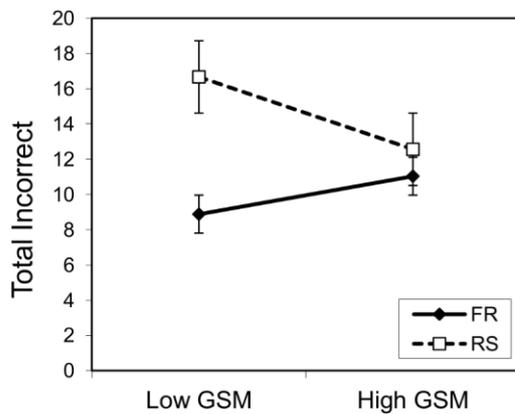


*Figure 1.* Simple slopes of the interaction between gender source monitoring score and presence of retrieval support for the number of incorrect details. Low and high source monitoring is defined as 1 *SD* below or above the mean score. RS = Retrieval support; FR = Free recall; GSM = Gender source monitoring.
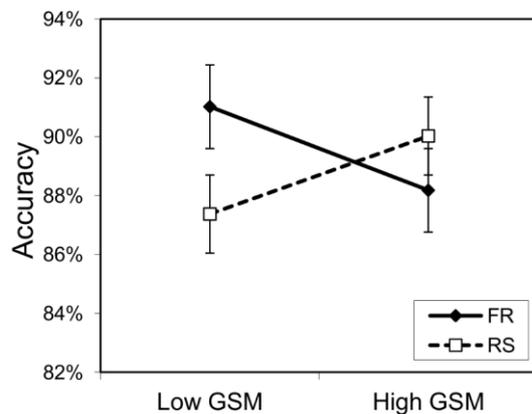


*Figure 2.* Simple slopes of the interaction between gender source monitoring score and presence of retrieval support for accuracy. Low and high source monitoring is defined as 1 *SD* below or above the mean score. RS = Retrieval support; FR = Free recall; GSM = Gender source monitoring.